2025

CALL FOR PROPOSALS - THEMATIC RESEARCH

ARCTIC RESEARCH – CLIMATE CHANGE AND SUSTAINABLE ARCTIC COMMUNITIES





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1

FRAMEWORK FOR INDEPENDENT RESEARCH FUND DENMARK'S SUPPORT FOR RESEARCH

Independent Research Fund Denmark's (DFF) main task is to provide financial support to concrete and fixed-term research activities based on researchers' own ideas. The fund considers its primary task to be one of supporting the growth layer and the continued development within independent, researcher-initiated research. DFF puts decisive weight on the scientific research quality being maintained in the projects it supports.

DFF's strategy and policies can be found on the fund's website dff.dk.

The legislative basis for DFF is <u>Consolidation Act no. 152 of 13 February 2025 on the Danish Council for Research and Innovation Policy and Independent Research Fund Denmark.</u>

The 2017 legislation introduced the possibility for DFF to award grants for concrete research activities within politically determined themes or funding instruments, when special funding has been provided for this purpose in the National Budget. The present call for proposals is a consequence of this opportunity.

1.1 GUIDE TO THIS CALL

In this call you can read about the application options available to you as an applicant as well as the requirements that apply to you and your application. As a rule, you should read the entire call.

1.2 DFF'S THEMATIC CALL FOR PROPOSALS 2025

With this call for proposals, DFF invites applications for funding for research activities within the politically determined theme "Arctic research – Climate change and sustainable Arctic societies", which can be found in <u>section 2</u>. The call applies to applications submitted by the application deadline for this theme in the autumn of 2025. The exact application deadline is stated in <u>section 2.1</u> of this call.

This document is an English translation of the fund's Danish call for proposals. In case of any inconsistencies between the two versions, the information in the Danish version applies.

1.3 INTERNATIONALISATION

DFF strives to strengthen and further develop the internationalisation of Danish research and therefore encourages applicants to submit applications encompassing international activities. The objective is to provide the best researchers and research groups with the opportunity to coordinate and develop their international research collaboration, and to offer talented researchers the opportunity to spend a period of their research career abroad. Aspects of internationalisation can therefore be included in applications to all of DFF's funding instruments. In all cases, the applications are required to relate to the international *state of the art* within their area.

1.4 GENDER BALANCE

DFF strives to support gender balance at Danish research institutions and would like to see this taken into consideration in applications to DFF. For this reason, DFF encourages applications that, to the extent possible, demonstrate an approach to scientific practice that can act as a driving force for professional diversity and equal opportunities for researchers regardless of gender. For applications seeking support for research groups or similar collaborative projects, the applicant must account for their considerations in relation to the gender composition of the research group or collaborative team in the application form. Such an account is a requirement, but the actual gender composition of the group will not factor into the assessment of the application. The required account has been introduced to ensure the applicant's focus on contributing to equal opportunities for everyone, regardless of gender, in research.

1.5 OPEN ACCESS

DFF seeks to strengthen the societal effect of research by ensuring unrestricted and cost-free digital access to all the latest research results in scientific articles. For this reason, Independent Research Fund Denmark, the Danish National Research Foundation, and Innovation Fund Denmark adopted the "Open Access Policy for public research funds and foundations" in April 2019, which requires parallel publishing of published scientific articles resulting from full or partial funding from these foundations. However, DFF does not provide financial support for Open Access publishing as the fund allows for a quarantine period of 6-12 months. For further information on DFF's Open Access policy, see DFF's website.

1.6 RESEARCH INTEGRITY AND RESEARCH SECURITY

DFF expects grant recipients to follow the Danish Code of Conduct for Research Integrity.

Furthermore, DFF expects that applications to the fund comply with the administrating institution's implementation of the recommendations by the <u>Committee on Guidelines for International Research and Innovation Collaboration (URIS)</u>. The administrating institution's management confirms this by signing the budget confirmation, which must be attached to the application (see <u>section 5.3.8</u> for further information).

In the application form, the applicant must account for the potential security-related risks that may be associated with the research project. Security-related risks may, e.g., relate to the criticality of the specific technology, which the project involves, as well as the international collaborations involved, and especially the combination of these (see section 5.2.1 for a more detailed description).

Due to Russia's invasion of Ukraine, DFF does not accept applications from applicants or with participants employed at Russian or Belarussian institutions, or applications encompassing research activities in Russia or Belarus as of 22 March 2022.

In the event of a grant being awarded, you will receive an appendix to the grant letter, where these and similar expectations are described further.

1.7 SIGNIFICANT CHANGES TO THE FUND'S THEMATIC CALL 2025

With this thematic call, DFF has introduced a new framework for applications for thematic research. The fund has thus introduced a new thematic funding instrument, DFF-Thematic, which establishes the general framework for your application and constitutes the only funding opportunity across all of the themes (cf. section 3.3.1). The purpose of the new funding instrument is to better support the research needs that typically characterise the thematic research areas to which the fund offers funding, including, among others, Arctic research.

In the following, you can read more about the politically determined themes and the general framework for your application. It is important that you read both the theme-specific requirements, applicable to the specific theme you wish to apply for (cf. section 2), and the general requirements, which apply across the themes (cf. section 2). In addition, you must pay attention to the fund's assessment criteria, including the theme-specific assessment criteria for certain themes (cf. section 4).

2

POLITICALLY DETERMINED THEMES - 2025

As part of the political agreement of 1 November 2024 on the distribution of the research reserve in 2025, DFF has been given the task, among other things, of allocating funds for thematic research.

These funds are described in: "Agreement on the research reserve, etc., 2025 of 1 November 2024 between the Danish Government (the Social Democrats, the Liberal Party, and the Moderates), and the Denmark Democrats, the Green Left, the Liberal Alliance, the Conservative Party, the Red-Green Alliance, the Danish People's Party, the Social Liberal Party, and the Alternative" (only available in Danish).

In 2025, DFF will allocate funds for several politically determined themes, including "Arctic research – Climate change and sustainable Arctic communities" (see section 2.2).

This call for proposals only concerns the funds for the theme "Arctic research – Climate change and sustainable Arctic communities". The other, politically determined themes, which DFF will allocate funding to in 2025, are described in a separate call, which is available on the <u>fund's website</u>.

To allocate the funds and to assess the applications, DFF will establish a thematic committee. In section 6.2, you can find an overview of the processing procedures, including information about the thematic committee for this theme.

Thematic research aims particularly to generate knowledge and/or contribute to new solutions for significant societal challenges. DFF places great emphasis on ensuring that applications to the fund are based on the researchers' own original ideas and initiatives.

DFF emphasises that the call is open to all types of research and that there is an opportunity for research within and across all academic disciplines, which can, in various ways, contribute to relevant and innovative knowledge and/or new solutions to the specific, thematised societal challenges.

In the following, you can read about application deadlines, themes, general framework for your application, assessment criteria, general requirements and procedures, etc.

2.1 APPLICATION DEADLINE

The application deadline for the politically determined theme is listed in the overview below. All applications must be uploaded in the application system www.e-grant.dk before the stated application deadline. Please note that the deadline is 12:00 noon on all application deadline days.

Politically determined theme	Budget framework for DFF grant	Collective budget framework for the theme ¹	Application deadline	Time of processing
Arctic research – Climate change and sustainable Arctic communities	Up to DKK 5,000,000 excluding overhead/ administration expenses	DKK 46,500,000	3 September 2025 at 12.00 noon	November 2025

¹ The stated amount is deducted by an administration fee, which as a rule is reserved for the administration of the funds. The total budget framework also covers expenses related to the processing of applications.

2.2 ARCTIC RESEARCH – CLIMATE CHANGE AND SUSTAINABLE ARCTIC COMMUNITIES

As a result of the *Agreement on the research reserve*, etc., 2025, DKK 46.5 million has been allocated to DFF with the purpose of strengthening Arctic research. According to the political agreement, the prioritisation can contribute to a sustainable development in the Arctic through a greater understanding of climate change and its impact on biodiversity, ecosystems, cultural heritage as well as living conditions in the Arctic, including a focus on involvement of the local population (including attention on Indigenous Peoples) and capacity building. The overall theme encompasses, among other things, knowledge about the challenges and solutions in regard to physicochemical conditions, climate feedback mechanisms, ecosystems and the living conditions of humans and animals. The effort can also contribute to increased knowledge about social and cultural changes and conditions in the Arctic communities as a result of, among other things, climate change, historical circumstances, etc.

DFF emphasises that the text in the political agreement is broad, and that the call encompasses the possibility for research within and across all main research disciplines.

As a geographical starting point, DFF uses the definition of the Arctic from <u>Arctic Monitoring & Assessment Programme</u> (AMAP), which spans the member countries of the Arctic Council, in which the Danish Realm (Rigsfællesskabet) is represented. Please be aware of <u>section 1.6</u> regarding applicants/participants from Russian (and Belarussian) institutions, etc.

DFF emphasises that all applications for this theme must include collaboration between researchers from at least two different research institutions. The research project's applicant and Co-PI can, for example, be associated with different research institutions in Greenland, the Faroe Islands and/or Denmark. DFF encourages such collaborations, but DFF simultaneously emphasises that research projects without such forms of collaboration are not excluded from applying for this theme and obtaining a grant.

To ensure *research* capacity building, DFF requires that all applications include at least one PhD student or postdoctoral candidate as specified in the theme-specific requirements below. PhD students and/or postdoctoral scholarships in the research project can, for instance, be fully or partially associated with research institutions in the Arctic.

At the same time, DFF requires that all applications involve *general* capacity building in the Arctic by means of involvement of the local population and/or Indigenous Peoples as an integrated part of the collaboration on the individual research project, as specified in the theme-specific requirements below.

Involvement and general capacity building can take place in different ways. The research project can, for instance, involve one or more of the local communities that the research is concerned with or has significance for, or one or more of the local communities close to which the research activities are carried out. The involvement can, among other things, be focused on how the research project involves the local population and Indigenous Peoples' perspectives. A mutual transmission of knowledge can occur by means of the involvement, which will benefit the research project as well as the local community. The research project can be strengthened through the local community's knowledge of the area, which is the subject of the research, and, at the same time, the knowledge and results of the research project can be shared with the local community. DFF urges that the involvement is initiated as early as possible, e.g. in the development stage of the project, and that the application contains relevant collaboration/support letters.

Furthermore, involvement and general capacity building can also take place through the research project contributing to an increase in the general level of knowledge and competencies in the relevant local community or communities. DFF emphasises the importance of making the knowledge and results of the research project easily available, so that the produced knowledge can benefit and impact the Arctic in general and, specifically, the involved local communities.

For further information and inspiration as well as links to examples of relevant guidelines and documents, DFF refers to an <u>appendix</u> on Indigenous Peoples' perspectives in Arctic research, which appeared in NordForsk's call *Sustainable Development of the Arctic*.

For research projects in Greenland, DFF also refers to <u>Arctic Hub</u>, which serves as a central point of contact for Greenland's local and international research community. Arctic Hub can, among other things, assist with the creation of networks, arrange contacts and provide feedback on how to bridge between research and local communities.

For research projects in the Faroe Islands, DFF also refers to <u>Granskingarráðið – Research Council</u> <u>Faroe Islands</u>, which serves as a central point of contact for the Faroe Islands' local and international research community. Granskingarráðið can, among other things, assist in arranging contact to institutions and researchers.

In the appendix to your project description, you must account for how the research project includes general capacity building by means of involvement of the local population and/or Indigenous Peoples in the Arctic, including how it will be ensured that the involvement happens in an inclusive, credible, appropriate, respectful, and ethically acceptable manner. The account will be included in the fund's overall assessment of your application.

DFF notes that it is your responsibility, as an applicant, to ensure that your research project meets the national and international declarations, rules, guidelines, protocols, or similar, that are applicable in the particular area(s) where the research will take place, and/or where the research has concrete significance. The research project must also meet any research-ethical guidelines at an institutional level, etc. This applies, e.g., to research projects that fully or partially take place in, or has significance for Greenland or the Faroe Islands, where DFF refers to *Greenland's National Research Strategy* from 2022 and *Arctic Policy of the Faroe Islands* from 2024. For research projects that involve Indigenous Peoples, DFF refers to the United Nations' *Declaration on the Rights of Indigenous Peoples* as well as *The Circumpolar Inuit Protocols for Equitable and Ethical Engagement*, known as the EEE protocols.

It is possible to apply for research projects within a budgetary framework of up to DKK 5,000,000 excluding overhead/administration expenses. Within the upper budget limit of DKK 5,000,000 excluding overhead/administration expenses, research projects of various sizes can be applied for and granted.

The following theme-specific requirements apply to your application:

- Your application must include at least one PhD student or postdoctoral candidate. This
 requirement is intended to support capacity building of research.
- Your application must include collaboration between researchers from at least two different research institutions.
- In the appendix to your project description, you must account for how the research project
 includes general capacity building by means of involvement of the local population and/or
 Indigenous Peoples in the Arctic. This requirement is intended to support general capacity
 building and involvement of the local population and Indigenous Peoples.
- In the appendix to your project description, you must also account for the ways in which your application addresses the call's theme of strengthening Arctic research, and how your application meets the theme-specific requirements above.

The general requirements and conditions for your application are described in <u>section 3.3.1</u> of the call, while the assessment criteria are described in <u>section 4</u>.

3

GENERAL FRAMEWORK FOR APPLICATION TO THEMATIC RESEARCH FUNDS

3.1 ALREADY STARTED PROJECTS

DFF does not provide retroactive funding. You can therefore not apply for research funding for activities that have already begun by the time the fund makes its decision.

3.2 STARTING DATES FOR PROJECTS

The earliest possible starting date is 1 March 2026 and the latest possible starting date is 1 December 2026.

3.3 FUNDING INSTRUMENT

3.3.1 DFF-Thematic

3.3.1.1 Budget framework and duration

The budget framework for the individual research project depends on the applied-for theme (cf. the overview in section 2.1).

There is no fixed lower limit for the budget framework. It is up to the applicant to assess how much funding must be applied for (within the maximum allowed budget framework), and what is necesary for the completion of the specific research project. It is important to be aware of the proportionality between the costs of the project and the expected scientific output, since this will be part of the assessment of your application.

The duration of the research project is up to five years. If the application includes requests for funding for unnamed PhD students and/or unnamed postdoctoral candidates, you must take into account the proposed recruitment process as part of the total duration of the project.

3.3.1.2 Applicant and Co-PI

The project must be lead by an **applicant** and a named **Co-PI**. The applicant is main responsible towards the fund in the event of a grant (cf. section 5.1.3).

As **applicant** as well as **Co-PI** you must have obtained a PhD degree (or similar qualifications, e.g. positive assistant professorship evaluation) at the time of the application deadline.

Both the applicant's and the Co-PI's previous results will be assessed in relation to their career path and in relation to the scientific challenges of the project applied for.

If you, as the **applicant**, are not an associate professor and have not been awarded a positive associate professor assessment ("lektorbedømmelse"), you must account for how the supervision of the project's PhD student and/or postdoctoral candidate will be provided, and how the research student's scientific association to the project will be ensured.

The applicant and the Co-PI must enter into a relevant and binding collaboration on a joint research project. The distribution of roles between the applicant and the Co-PI in the research project must appear clearly from the application.

3.3.1.3 Application requirements

The research project must be run by multiple researchers, including both an applicant and a Co-PI. Your application must include at least one PhD student or postdoctoral candidate (see section 2.2). This requirement is intended to support capacity building of research.

The research project must be characterised as being a coordinated and mutually binding collaboration on a well-defined research question. The research activities must establish synergy among any sub-projects.

The research project must be of a high, international standard and involve international collaboration to a relevant extent. If the research project is embedded in a specific, local context, it is expected that the project argues for the potentially limited internationalisation. In all cases, DFF expects applications to relate to the international state of the art within their area.

The application must contain a project description and an appendix that describe the research project altogether. Please note that the overview of appendices (section 5.3.2) offers a complete list of the appendices that must be attached. The following restrictions apply for the project description as well as the appendix. The maximum allowed number of pages and characters includes spaces, figures, figure captions, tables, etc.:

- The project description must not exceed 6 A4 pages and must not exceed a total of 18,000 characters
- The appendix must not exceed 2 A4 pages and must not exceed a total of 6,000 characters

Section 5.3 details how the character count is made, as it is e-grant's character count that applies.

The appendix must clearly account for how the project addresses the applied-for theme, including the expected, societal impact of the project. Furthermore, the appendix must address any theme-specific requirements (cf. the individual themes in <u>section 2</u>). It is allowed to address thematic relevance and theme-specific requirements in the project description as well.

The content of the project description and the appendix is described in more detail in section 5.3.3.

Embedded PhD and/or postdoctoral scholarships must be well integrated into the project and fulfil a clear, independent function within the research project. Embedded postdoctoral candidates must have obtained their PhD or achieved equivalent qualifications, e.g., a positive assistant professorship evaluation ("adjunktbedømmelse"), within the last 4 years at the time of the application deadline. Periods of leave must be deducted (see section 5.3.5 concerning calculation of the PhD age). If a named postdoctoral candidate is a PhD student at the time of application, the application must include a declaration from the student's supervisor, stating that the student is expected to submit the PhD thesis within 6 months after the application deadline. If the application includes requests for funding for unnamed PhD students and/or unnamed postdoc candidates, the application must include an account of the proposed recruitment process.

There must be a sufficiently balanced relationship between the roles of all listed project participants (scientific/academic staff, technical/administrative staff, and whether funded or not), and the concrete role of the participants must be accounted for and justified.



DFF ASSESSMENT AND ASSESSMENT CRITERIA

In its assessment of your application, the thematic committee will make a concrete scientific/academic assessment to determine whether you, as an applicant, meet the following requirements. If the committee finds that you do not meet these requirements, your application will be rejected:

- Applicants to DFF-Thematic must have obtained a PhD degree or equivalent qualifications.
- The total amount applied for must observe the specified limits that apply to the relevant funding instrument.

If your application meets the two abovementioned evaluation criteria as well as the requirements, which must be fulfilled, for your application to be given active consideration (see <u>section 6.1</u>), your application will be assessed based on the criteria listed below.

The three most significant assessment criteria are fulfilling the objective of the theme applied for, the project's scientific quality and the applicants' qualifications, of which the first two, however, are emphasised the most in the assessment of your application.

In the assessment, DFF emphasises that the individual criteria should be met to the greatest extent possible. The thematic committee or the research council will, however, always assess the individual application based on a concrete, overall evaluation, where the various criteria can be met to a greater or lesser extent. As such, not all criteria will be relevant to all applications. Due to the competition between applications, it is not a given that meeting the criteria will result in a grant.

In every case, DFF will assess your application based on whether the project in question benefits Danish research, which must be interpreted broadly, meaning within the Danish Realm (Rigsfællesskabet).

For this thematic call, DFF applies the following criteria:

Achieving the objective of the theme:

- Does the application sufficiently account for how the project addresses the theme applied for as described in the call for proposals, including capacity building (cf. section 2 for the description of the theme applied for and the theme-specific requirements that apply to your application)?
- Does the application sufficiently account for the expected, societal impact of the project based on the theme applied for (cf. section 2 for the description of the theme applied for)?
- If relevant to the project: Does the application sufficiently account for the project's relevance to the specific practice area based on the theme applied for, including how the project supports or strengthens practice-oriented research?
- If relevant to the project: Does the application sufficiently account for the project's interdisciplinary/multidisciplinary approach and a sufficient participation and integration of the different research disciplines with the purpose of achieving the joint objective of the project?
- If relevant to the project: If the project is motivated by a desire to solve a specific problem or does it have a clear application-oriented perspective: Is this sufficiently clearly described, and has there been sufficiently argued for the described solution or application?
- Is the described framework of the funding instrument (see section 3.3.1) sufficiently met?

Scientific quality:

• Does the project description render it probable that the project contains potential for scientific progress, innovation and originality (theoretical, methodical and empirical) as opposed to being an expansion of already ongoing research?

- Does the project description contain:
 - a clear and well-defined research question and objective?
 - a description of *state of the art* and/or the scientific challenges within the project's research area, and the project's potential contribution hereto?
 - consistent and suitable hypotheses?
 - an account of the theoretical and/or methodical foundation, including an argumentation for the relevance of the proposed activities in relation to this foundation?
- Does the project description render it probable that the project contributes to internationalisation of the research, or, if the research is embedded in a specific, local context, is there argued sufficiently for the project's potentially limited internationalisation (cf. section 3.3.1.3)?
- If relevant to the project: Is there an argument for the correlation between the project's hypothesis, theory and method?
- If relevant to the project: Is there a sufficient description of the project's empirical material or data foundation, including any pilot projects and/or any preliminary data?
- If relevant to the project: Is there sufficient synergy between the individual parts of the project?

Applicants' qualifications:

- Has the applicant and Co-PI documented
 - scientific qualifications to an extent that documents the relevant competencies needed for the handling and completion of the project?
 - scientific qualifications at a level corresponding to career path and seniority?
 - scientific production at a level corresponding to career path and seniority?
 - qualifications as research leaders at a level corresponding to career path and seniority?
- Have the other central project participants documented relevant scientific qualifications that are
 necessary for the completion of the project, and, additionally, do they have scientific qualifications
 at a level corresponding to their career path and seniority?
- Are relevant local and international researchers contributing, and if relevant, is there sufficient degree of contribution from public institutions or business partners?
- Is there a strategy for the organisation and management of the project, including an account of the division of labour between the applicant and the Co-PI and any other researchers involved and a plausibility of the applicant and Co-PI being able to handle the project applied for (scientifically/academically and timewise) at the same time as their other research and management tasks?

Feasibility:

- Have sufficient resources been allocated to the project, including the research framework, personnel, and access to necessary facilities and equipment?
- Is there a realistic work plan and time-frame for the project that, among other things, takes the recruitment of any unnamed participants and the dissemination of project results into account?
- Does the project description account for the project's milestones and success criteria, and are these realistic?
- Is there proportionality between the project's costs and the expected scientific output?
- Is there proportionality between the proposed activities and the proposed budget? Does this include a good correlation between what the requested funding will finance, how the funding will be used, as well as which tasks and people will be financed?
- Are ethical aspects satisfactorily highlighted where relevant?
- If relevant to the project: Is there a plan for the management of risks which can hinder the project's completion?

Publishing and dissemination of results:

- Are the collective considerations for publishing and disseminating of the project's results described in a satisfactory manner?
- If relevant to the project: Does the project sufficiently account for a satisfactory plan for how the project's results can be embedded and applied within the relevant practice area, including the project's potential for changing practice (e.g., knowledge conversion, dissemination, education, etc.)?
- If relevant to the project: Have the likelihood and plans for patents in the proposed project been accounted for?

Other assessment criteria:

- Do the activities benefit Danish research?
- Does the project include education of researchers in a relevant manner, and, furthermore, are
 potential PhD students or postdoctoral candidates well integrated into the project, and do they
 fulfil a clear function in it?
- Does the project contribute to improving researcher mobility nationally/internationally and if relevant between research institutions/companies?



GENERAL APPLICATION REQUIREMENTS

5.1 LANGUAGE AND APPLICANT REQUIREMENTS

5.1.1 Language

The **entire** application must be written in English, including the project description and the appendix, CVs as well as lists of publications.

5.1.2 Requirements for the applicant

DFF aims to advance and strengthen Danish research, understood in a broad sense. Therefore, the fund has no requirements as to applicants' citizenship, the location of research institutions, or the specific geographic location where the research activities in question will be carried out.

The fund does not require employment prior to applying for or obtaining a grant.

The fund sees diversity as a resource, and encourages all candidates to apply, regardless of their age, gender, religion, nationality, ethnicity, or political persuasion.

DFF does not award grants to applicants, who, in the 2 years prior to the application deadline, have been found guilty of research misconduct by the Danish Committee on Research Misconduct, cf. Act 383 of 26 April 2017 on research misconduct, etc.

Grant holders are obligated to comply with the terms of the applicable version of <u>Terms & Conditions</u> <u>for Grants</u>. In the event of non-performance (i.e. the grant holder fails to comply with the applicable terms and conditions), DFF may, based on a specific assessment, make the processing of new applications from the grant holder conditional upon compliance with the grant conditions for the previous grant.

5.1.3 Who should submit the application

The application must be initiated and submitted in e-grant by the researcher who is main responsible for the project and responsible to the fund in relation to the submitted project (i.e. the applicant).

5.2 APPLICATION FORM

When you have determined the most appropriate politically determined theme for your research idea, and whether you meet the requirements in the call for proposals, you can begin preparing your application to DFF. Applications must be submitted through the e-grant system at www.e-grant.dk.

To submit an application to DFF, you must first register as a user in e-grant. In order to access the application form, you must choose which thematic area you wish to apply for in the "Search possibilities" tab.

When filling in the application form, you have to go through a series of steps, where you must provide various types of information about your application. For this reason, we strongly urge you to open the application form in good time in order to get an overview of the information that must be included.

It is possible to re-open and re-submit your application up until the deadline. If you have already submitted your application, subsequently made corrections and not managed to submit these corrections before the deadline, DFF will assess the most recently submitted application received before the application deadline.

5.2.1 Special fields that must be completed in the application form in e-grant

The table below offers an overview of the major fields that must be completed in the application form in e-grant. The table does not show an exhaustive list of the fields that must be completed in the application form, which also includes fields concerning information about the applicant, place of work, etc. You are advised to create your application and read the detailed description of the fields in e-grant. The stated number of characters includes spaces.

	Description	Read more in section	DFF-Thematic
Applicant	Give an account of your most significant contributions to science (1,000 characters).		Х
PhD degree	Have you had any periods of leave since obtaining your PhD degree, if yes: State your period(s) of leave.		X
Application	Brief scientific summary in English (abstract) (max 1,000 characters).	<u>5.2.1.1</u>	Х
	Popularised description of the scientific content in Danish (max 1,500 characters).	<u>5.2.1.1</u>	Х
	Scientific keywords (max 5) and classification codes (OECD) (max 5).	<u>5.2.1.2</u>	X
	For statistical purposes only: If relevant, indicate an estimate in percentages of the extent to which the project falls within the following set of politically defined themes (this information will not be visible to the committee members when they assess your application).		X
Ethics, data and security	Which ethical issues does your research raise that should be dealt with? If no ethical issues are identified, please provide a brief account in which you justify this (max 3,000 characters).		Х
	Does your project involve gathering or purchase of quantitative or qualitative data or a combination of these?: If yes: Please provide a brief description of the data gathered or purchased (max 300 characters).		X
	Questions on potential issues of research security:		
	Does the project include collaboration with entities established in non-EU countries?		
	Will some of the planned activities be carried out in non-EU countries?		X
	In case of collaboration with entities established in non-EU countries or activities in non-EU countries, do the collaboration or activities undertaken in these countries raise potential research security issues?		^
	Security self-assessment: Please describe the measures you intend to take to mitigate/solve/avoid the potential research security issues (max. 1,000 characters).		
Gender composition	Description of the gender composition in the project (max 1,000 characters).	<u>1.4</u>	Х

	Description	Read more in section	DFF-Thematic
Other applications	Is this application a resubmission? If yes: Provide title, year of application and case number of the previous version of the submission. Moreover, describe any changes made in the resubmission (max 1,000 characters).		Х
	Have you applied for funding activities covered by this application from other sources? If yes: Provide source, applied amount, submission date and expected decision date.		X
Previous grants	Have you as a PI within the last 5 years received any funding over DKK 1 mil. from DFF or other sources for activities <i>related</i> to the present application? If yes: Specify grants over DKK 1 mil. (max 10 grants). Describe the results of the grant and the grant's relation to the proposed project (max 1,000 characters).		X
	Have you as a PI within the last 5 years received any funding over DKK 1 mil. from DFF or other sources for activities <i>not related</i> to the present application? If yes: Specify grants over DKK 1 mil. (max 10 grants). Describe the results of the grant and the grant's relation to the proposed project (max 1,000 characters).		X
	If yes to previous grants: Account for your strategy for managing the project in relation to your current projects by explaining how you will manage the DFF project in relation to time and your other research management tasks.		X
Supplementary information	Optional additional information regarding the application (max 1,000 characters).		Х
	Have you used generative artificial intelligence (AI) tools in the writing of your application? If yes: Explain how you have used generative AI and for which parts of the application (max. 1,500 characters).	<u>5.2.1.3</u>	х

5.2.1.1 Popularised scientific description and abstract

You must write a scientific abstract and a popularised description in the "Title and scientific content" section of the application form.

The scientific abstract must be written in English, and written with the research peers, who will assess the application, in mind. It should therefore be written in a way that makes it possible for research peers to judge whether they are qualified to assess the project.

The popularised scientific description should be written for the purpose of public release, e.g. on the DFF website and in the Danish media. It should therefore be written in a way that makes it possible for a non-research audience to understand what the project is about. The description must be written in Danish.

5.2.1.2 Listing the relevant Fields of Science classification code(s) for the project

In the "Title and scientific content" section of the application form, you must list up to five Fields of Science classification codes (OECD Classification codes) in order of priority, according to the scientific field(s) that are most relevant to your project. The OECD-codes must reflect the project's scientific discipline(s). You must use the scientific codes on "Level 2" or "Level 3" from the subdivision provided in the DFF overview of scientific codes, which you will find on the DFF website. The codes are used for, e.g., identifying relevant reviewers for your application, so it is important that you select the codes carefully.

In this section, you also have the opportunity to list up to five keywords of your choosing that describe the scientific content of your project in a prioritised order corresponding to the scientific keyword(s) most relevant to the project applied for.

5.2.1.3 Use of generative artificial intelligence (AI)

If applicants use artificial intelligence (AI), DFF expects this to happen in a responsible manner. To ensure transparency in regard to the use of artificial intelligence, all applicants must declare in the application form if they have used generative AI tools. This information will not be made available to the reviewers. Furthermore, DFF refers to relevant guidelines within the area, e.g. the European Commission's guidelines on the responsible use of generative AI in research.

5.3 OVERVIEW OF APPENDICES TO THE APPLICATION

There are a number of mandatory appendices that *must* be attached to the application (X) and a number of appendices that must be attached *if* they are relevant (*). The overview below shows the appendices in question. You can read more about the individual appendices in the section following the appendix overview.

DFF recommends that you cross out any civil registration numbers (CPR) that appear in appendices.

Appendices which do not appear in the overview below will not be considered in the assessment process.

Please note: In order for the applications to be subsequently filed by the Danish National Archives, the submitted appendices must as a minimum meet the PDF/A standard.

Counting the number of characters

The appendices "Project description", "Appendix" and "Applicant's CV" must adhere to the requirements regarding maximum permitted number of pages and characters. As various text-editor programs use different methods for counting the number of characters, DFF recommends using the e-grant system to verify that the number of characters in your application is within the permitted number. DFF will always use e-grant's character count as a basis for the decision of whether a document fulfils the requirements or not. In this regard, DFF recommends that you, as the applicant, continuously generate a PDF-file of your CV, project description and appendix and upload these documents to the application. If the document exceeds the permitted length, or if the document's number of characters cannot be counted by the system, you will receive a warning that the document does not meet the requirements and have the opportunity to upload a new document. Alternatively, you can make use of e-grant's **counting tool** without needing to create and navigate through an application. The counting tool will inform you how many characters e-grant has detected in the uploaded PDF file. Please note that this component only functions as a PDF counting tool and that you will not be notified if you exceed the set requirements.

<u>DFF will reject applications that exceed the permitted number of pages or characters in the project description, the appendix and the applicant's CV in accordance with **section 6.1**. Hence, you will <u>not</u> be able to upload a revised document to DFF if the document exceeds the permitted requirements when the deadline expires.</u>

5.3.1 Definitions of roles in the project

DFF distinguishes between four overall project roles; applicant, Co-PI, participant, and collaborator, which has an influence on the appendices that must be attached.

The applicant is the person who submits the application and is main responsible for the project in the event of a grant.

Co-PI is the person who, together with the applicant, form a relevant and binding collaboration on the joint research project (cf. also <u>section 3.3.1.2</u>). Please note that the Co-PI must appear in the budget, regardless of whether DFF funding is applied for or not.

Participants have a *significant* role in the project and contribute with working hours. Participants must appear in the budget, regardless of whether DFF funding is applied for or not. Examples of participants: Scientific/academic project participants, including embedded PhD students and postdocs, supervisors, hosts of stays abroad for the funding instrument DFF-International Postdoctoral Grant (thematic), technical/administrative project participants, etc.

Collaborators are more peripheral actors in the project. Examples of collaborators: Advisory board member, organisations who are users of project results, e.g. companies or public actors, sparring partners, etc.

5.3.2 Appendix overview

Appendix overview	DFF-Thematic
B10: Project description. It is recommended to use the DFF project description template (see section 5.3.3). It must include any figures, tables, etc., excluding list of references/bibliography (must be uploaded as a separate appendix B11). If the project description exceeds the maximum number of characters or pages, as outlined under the applied-for funding instrument, the application will not be given active consideration (see section 5.3 and section 6.1).	х
B10a: Appendix. It is recommended to use the DFF appendix template (see section 5.3.3). It must include any figures, tables, etc., excluding list of references/bibliography (must be uploaded as a separate appendix B11). If the appendix exceeds the maximum number of characters or pages, as outlined under the applied-for funding instrument, the application will not be given active consideration (see section 5.3 and section 6.1).	X
B11: List of references/bibliography for the project description and the appendix (one joint file).	х
Budget: Must be completed in the mandatory DFF budget template (see <u>section 5.3.7</u>) and uploaded to e-grant as a separate file. Pledges of actual financial support from other sources for the project's completion must be included in the budget.	х
B20: Applicant's CV. Max 2 pages (see section 5.3.4). If the 2 A4 pages exceed the allowed 6,000 characters, including spaces, figure texts, formulas, etc., the application will not be given active consideration (see section 5.3 and section 6.1)	х
B21: List of publications for the applicant (see section 5.3.6).	Х
B25: Co-Pl's CV: Max. 2 pages (see <u>section 5.3.4</u>).	Х
B26: List of publications for the Co-PI (see <u>section 5.3.6</u>).	Х
B31: CV and list of publications for the main supervisor (max 2 pages in total), who must be employed at the PhD degree-awarding institution (cf. <u>the PhD order</u>). CV and list of publication for the main supervisor must always be attached, if the applicant or the Co-Pl is not the main supervisor.	*

Appendix overview	DFF-Thematic
B40: CV and list of publications (max 2 pages in total per person) for all named participants that appear in the budget with or without DFF funding (see section 5.3.4 and section 5.3.6). Note, however, individual requirements concerning embedded PhD students and postdocs (see B41 and B42).	*
B41: Named PhD students. In the case of PhD scholarships for named individuals, the following appendices must be attached: transcripts/exam certificate, CV (max 1 page), and any list of publications.	*
B42: Named postdocs: In the case of postdoctoral grants for named individuals, the following appendices must be attached: CV (max 1 page), list of publications, and PhD diploma. If the candidate does not have a PhD diploma, documentation showing either: a) that the thesis has been accepted for defence, b) positive assistant professorship evaluation ("adjunktbedømmelse"), c) qualifications corresponding to PhD level achieved in another manner or, d) declaration from supervisor on submission of PhD thesis within 6 months of the application deadline (PhD students) must be submitted.	*
B51: If significant parts, or all, of the project take place at another host institution than the administrating institution, a declaration from the host institution must be attached, stating that the project can be carried out at the location in question, including a short comment on the content of the cooperation and the most important activities. The declaration must be signed by a person who has the managerial authority to do so.	*
B52: Collaboration/support letters. If a collaborator is significant for the project's completion, a collaborative statement/letter of support must be enclosed. It must be specified how the collaborator contributes to the project (max 1 page from each).	*
B60: Documentation for purchase of apparatus for more than DKK 500,000 a piece excluding overhead/administration expenses, preferably in the form of a quote.	*
B61: Salary level. Documentation for the salary level of the applicant, Co-Pl and any potential named participants, in case the salary level exceeds the normal level (see section 5.3.7.5).	*
B62: Documentation for expenses in connection with sub-contracts .	*
B63: <i>De minimis</i> declarations for enterprises (see <u>section 5.3.79</u>).	*
B90: Budget confirmation. Administrator's confirmation of budget and hosting (signature) in the "DFF - Budget Confirmation" template (see section 5.3.8).	х

5.3.3 Project description and appendix

Your application must always include a project description. It is recommended that you use the DFF project description template, which can be found on DFF's website. The project description must not exceed the maximum number of pages and characters (excluding references/bibliography) which is outlined under each funding instrument – regardless of whether the description includes figures/tables, etc., or not. The number of characters stated under the funding instrument includes spaces, figure captions, formulas, etc. You **must** therefore use the font Times New Roman, font size 12, minimum 2 cm margin on the left, right, top and bottom, and line spacing of 1.5. You **must** use minimum font size 10 and line spacing 1.0 for inclusion of e.g. tables, diagrams, figure captions, footnotes, etc. **No** links to external material may be included.

The requirements for the project description outlined above likewise apply to the appendix (cf. section 3.3.1.3).

It is important that the document is not scanned and that it is in an electronic format that is readable by e-grant. If you submit files, which are not in a readable electronic format, you will receive a warning that the document does not meet the listed requirements.

List of references/bibliography must be uploaded as a separate appendix and must include only bibliographic references and no further information in the form of endnotes. List of references/bibliography for both the project description and the appendix must be compiled into one list of references/bibliography. List of references/bibliography can include relevant links for references.

An automatic validation of the length of the project description and the appendix will be made when you submit your application, as stated in <u>section 5.3</u>. Please note that DFF will always use e-grant's character count as a basis for the decision of whether a document fulfils the requirements or not (see <u>section 5.3</u> for further information on how the number of characters is counted in e-grant). If the project description or the appendix exceeds the specified limitations on the number of pages or characters for the applied-for funding instrument, it will be singled out for a manual check. Applications where the project description or appendices exceeds the specified size requirements will receive an administrative rejection (see <u>section 6.1</u>).

Your project description must account for:

- The project's objective, including research question and potential hypotheses.
- The project's scientific perspectives and relevance. In addition, it must include an assessment
 of the anticipated significance of the project results in relation to future research and researcher
 education in the field.
- The theoretical foundation of the project, its central concepts and the current knowledge/state of the art within the area. This must include an account of how your project relates to national and international research, its clear delimitations in relation to current activities in the area, as well as an argumentation for your qualifications and previous achievements in the field.
- If relevant to the application: An argument for the choice of method, including how theory and concepts will be applied in the analysis.
- If relevant to the application: An account of the project's empirical material.
- A research plan, including an assessment of the feasibility of the project, a work plan and time schedule. It is important that the overall time schedule takes any recruitment of unnamed participants into consideration, as a project extension cannot normally be expected under the terms of the grant.
- The practical framework for the completion of the project (e.g., experimental facilities, staff resources, plans for collaboration with central participants, collaboration partners, and other contributors, access to software/databases, etc.).
- Considerations regarding publishing and dissemination of research results, including if required/relevant for the application how the research results can be embedded in, and contribute to changes in the practice area or similar.
- If relevant to the application: A plan for the management of risks which can hinder the project's completion.

Your appendix must include/account for:

- A clear description of the ways in which the project addresses the applied for theme and associated assessment criteria as described in the call for proposals (cf. section 2 and section 4).
- The project's expected, societal impact.

The thematic relevance and theme-specific requirements may also be accounted for in the project description.

Please note that there may be specific requirements for the content of the project description and the appendix for each theme in <u>section 2</u> and each funding instrument in <u>section 3</u>. In these cases, your project description must respect both the general requirements as outlined above and the specific requirements of the funding instrument. Please pay attention to the fund's assessment criteria in general (see <u>section 4</u>).

When writing your project description and the appendix, you must also bear in mind that all the members of the thematic committee to which you apply will participate in the final assessment and prioritisation of the individual applications. Thus, the project description and appendix should be understandable to all its members.

5.3.4 Curriculum Vitae – CV

5.3.4.1 Extent of the CV

The applicant and **Co-PI** must submit a CV and a separate list of publications. The applicant's and the Co-PI's CV must not exceed 2 A4 pages (including figures, tables, etc.). For the applicant CV, it is also the case that the 2 A4 pages must not exceed 6,000 characters, including spaces, figure texts, formulas, etc.

It is important that the document is not scanned and that it is in an electronic format that is readable by e-grant. If you submit files, which are not in a readable electronic format, you will receive a warning that the document does not meet the listed requirements.

An automatic validation of the submitted applicant CV will be made, as stated in <u>section 5.3</u>. Note that DFF will always use e-grant's character count as a basis for the decision of whether a document exceeds the maximum allowed number of pages and/or characters (see <u>section 5.3</u> for further information on how the number of characters is counted in e-grant). If the CV exceeds the specified limitations on the number of pages or characters, it will be singled out for a manual check. Applications where the applicant's CV exceeds the size requirements will receive an administrative rejection (see <u>section 6.1</u>).

Participants must include CV and list of publications. CV and list of publications for participants must not exceed maximum 2 pages in total per person. For embedded PhD students and postdocs, however, a CV of 1 page must be included, and a full list of publications as well.

You can find the definitions of the roles in DFF projects in section 5.3.1.

No links to external materials may be included.

5.3.4.2 Content of the CV

The required content of the CV and list of publications applies to both the applicant, Co-PI, and participants (including PhD students and postdocs). It is important that the CV contains information of relevance to the applied for project. The CV can include the following:

- Education (for academic degrees, the date and year of obtaining the degree must be listed).
- Current and most recent employment. In case of temporary employment, state the termination date of the employment contract.
- · Academic awards and honours.
- Management experience, including experience with project management and leading research projects.
- Scientific focus areas.
- International relations.
- Supervision of students (PhD students and postdocs).
- Other scientific qualifications.

The CV should not contain information such as email address, phone number, address, and periods of illness, etc.

In the assessment of applicants' research productivity, individual career paths will be taken into consideration. This includes, e.g., any leaves of absence or employment in private research-driven businesses. Applicants, who have been employed in private companies and the like, are encouraged to describe the qualifications and results they have achieved through their employment.

DFF considers a high ranking in the European Research Council (ERC) as important information on the CV. The fund therefore encourages applicants, who have qualified for the second round in ERC, to state this in their CV.

5.3.5 Calculation of PhD age

DFF does not wish to see young research talents retained in repeated postdoctoral positions without the prospect of permanent employment. DFF has fixed criteria concerning PhD age for the funding instrument DFF-International Postdoctoral Grant (thematic) and for embedded postdocs for the fund's other funding instruments.

The PhD age is calculated as the period of time between acquiring the PhD and the DFF application deadline for the relevant theme. It is the most recent date, which appears on the PhD diploma, that is applicable.

If you have both a PhD degree and equivalent qualifications, the PhD age is calculated on the basis of the PhD degree. DFF can request documentation of the applicant's PhD age.

In the calculation of the PhD age, certain types of documentable leave, which have been held after obtaining the PhD degree and in accordance with the relevant existing laws, must be deducted. For instruments with fixed PhD age criteria, leave of absence must be specified with exact dates in the application form. For other funding instruments, this also applies to any embedded, named postdocs. E-grant will automatically calculate the length of the periods of leave, which will be deducted from your PhD age. For the funding instrument DFF-Thematic and themes, where there is no PhD age limit for the applicant, leave of absence must be noted in the free text field.

Periods of leave that must be deducted are:

- pregnancy, maternity, paternity or parental and adoption leave,
- bereavement leave, and formal leave to care for a close relative who is seriously ill or dying (care's leave),
- long-term sick leave of a minimum of 21 consecutive days,
- · military service,
- clinical employment, which have constituted a necessary part of the course of an education during which the time for research has been very limited,
- full-time humanitarian aid work in a foreign country.

Approval of deduction of periods of clinical employment and humanitarian aid work will be based on a concrete assessment made in connection with the application processing.

All deducted periods of leave must be documentable, and documentation must be submitted if DFF requests this.

If you have been on pregnancy, maternity, paternity or parental and adoption leave after obtaining your PhD degree, the actual number of weeks of leave, multiplied by 2, will be deducted from your PhD

age. However, the maximum number of weeks that can be deducted is 52 weeks times 2 per period of leave on this basis.

It should be noted that employment in private companies, etc., cannot be deducted from the PhD age. Applicants, who have been employed in private companies, are encouraged to describe the qualifications and results they have achieved through their employment.

5.3.6 List of publications

The list of publications must only include work that has been published or accepted for publication. For the applicant and Co-PI as well as embedded PhD students or postdocs, it is optional whether to submit a full or a selective publication list. For other named participants, a CV and list of publications of max 2 pages in total per person must be included (see also section 5.3.2). It must be stated if a publication list is selective.

The name of the applicant/other participants must be in bold font in the list of publications. The order of authors must be indicated exactly as listed in the original publication, meaning that, for example, the order of shared first authorships must not be revised. Likewise, all co-authors should be mentioned to the extent possible.

The list of publications must be systematically organised, e.g., chronologically, and divided into the following categories:

- Peer-reviewed publications. State all authors to the extent possible (indicate in which order
 they are mentioned, e.g., alphabetically or first-to-last author), year of publication, title, place of
 publication, volume number as well as first and last page number, or article number and number
 of pages:
 - articles
 - monographs
 - peer-reviewed articles published in conference proceedings
 - book chapters
- Non-peer-reviewed publications such as monographs, book chapters, articles, etc. State all authors to the extent possible (indicate in which order they are mentioned, e.g., alphabetically or first-to-last author) year of publication, title, place of publication, volume number as well as first and last page number, or article number and number of pages.
- Patent references for patents obtained or applied for, which are relevant to your research.
 Patent references are included in the list of publications on equal terms with references for scientific articles.

No links to external material may be included.

If you list your H-index in your list of publications, you must state how you have calculated it.

5.3.7 Budget

In order for DFF to assess your application, you must devise a budget for the entire project period, which describes the activities to be funded. This applies both to the funding of activities at your own institution and to any funding that will go towards participants at other institutions. It is important that the budget includes information about all participants in the project, including participants who will not receive DFF funding, thus providing an overview of the entire group of participants and the economy of the project.

5.3.7.1 Entering budget information in the application form

As the applicant, you must fill in a comprehensive and complete budget in the mandatory DFF budget template that applies to the relevant funding instrument. The budget template is only available in English.

You will find the correct budget template by creating an application for the selected funding instrument in e-grant, and downloading the budget template under the "Upload Budget" step in the application form. When the budget is completed, it must be uploaded to the application form in e-grant. You can find a description of how this is done in the application form under "Introduction".

5.3.7.2 Preparing the budget

DFF recommends that you seek assistance from the institution responsible for administering the potential grant, when preparing your budget.

You must include all relevant information when completing the budget template.

Please pay attention to the following:

- Some sections in the budget template can only be filled in by selecting a value from the drop-down
 menu in the relevant cells.
 - If your institution does not appear in the dropdown menu, please enter it yourself.
 - If there is a need to add more applying institutions to the budget than there is room for, please contact the e-grant unit, who can help you (see <u>section 8.2</u>).
- All expenses must be stated excluding overhead/administration expenses. The overhead amount/ administration costs is automatically calculated based on the selected "Overhead percentage".
- For each budget item you must meticulously account for the amount and relevance. It is especially
 important that you carefully state and explain the operating expenses as well as the number of
 months and salary levels for scientific/academic as well as technical/administrative employees.
 This explanatory information should be entered into the "Description" field.
- You must enter an overhead percentage for all participating institutions in the budget. This also applies to institutions that receive 0 % for overhead/administration expenses.
- When the budget is complete, please ensure that the person who approves the budget on behalf of your institution/organisation/business indicates their approval by using the template "<u>DFF Budget Confirmation</u>", which should be attached as a separate appendix. Please follow the guidelines in <u>section 5.3.8</u>.

Use one row for each budget entry. If there are not enough rows, related budget entries may be combined into the same budget entry.

5.3.7.3 Co-financing and funding from other sources

Any co-financing from your own or other participating institutions must be accounted for in the budget under "co-financing" (green cells).

Any co-financing to the project from sources not actively participating in the project (e.g. other funds) must be accounted for in the budget under "funding from other sources" (orange cells).

As a general rule, co-financing is divided into four overall budget items: Scientific/academic salaries, technical/administrative salaries, equipment expenses, and operating expenses as described in section 5.3.7.4 below. Information about co-financing must also be listed, even if it stems from a participant who is not funded by the grant but who participates actively in the proposed project.

DFF can require Danish state research institutions participating in the application to co-finance the project with a total of up to 10 % of the amount applied for from the fund. In addition, the fund can require co-financing from other types of institutions, to the extent that the fund deems appropriate. In connection with this call, DFF has decided not to make co-financing a requirement.

5.3.7.4 What research expenses can you apply for?

You may apply for funding to cover all expenses that are directly attributable to the project, and which are relevant and necessary for the completion of the project. Overhead/administration expenses should be added to this, see section 5.3.7.10.

As a general rule, the budget must be divided into the following overall budget items:

- Scientific/academic salaries
- Technical/administrative salaries
- Equipment expenses (exceeding DKK 500,000 excluding overhead/administration expenses)
- Operating expenses (including equipment expenses up to DKK 500,000 excluding overhead/ administration expenses)
- Overhead/administration expenses (calculation based on the overhead percentage)

You must prepare the budget according to the actual cost level at the time of the application being completed and take into account expected salary and price increases during the project period.

5.3.7.5 Scientific/academic salaries

DFF may provide funding for salary for scientific/academic participants in the project applied for. This applies to researchers who are already employed during the project period as well as researchers who are not already employed during the project period in question.

DFF requires salaries for public employees to be set according to the provisions which apply to scientific staff in the collective agreement between the Danish Confederation of Professional Associations (AC) and the Danish Ministry of Finance. Scientific/academic participants, whose salaries are paid for by DFF, in full or in part, are covered by the job structure for scientific/academic staff at institutions of higher education, or by the job structure for scientific/academic staff performing research at sector research institutions.

When you apply for funding for salary expenses for named scientific participants, you must use the expected actual salary expenses as a basis, which is defined as direct salary that can be attributed to the employee and that is documentable via payslips. Please note that you should not apply separately for vacation allowances for permanently employed scientific/academic participants.

Salary expenses for unnamed scientific/academic employees participating at postdoctoral level should, as a rule, be calculated according to the salary level for postdoctoral/assistant professors, cf. the collective agreement between the Danish Confederation of Professional Associations (AC) and the Danish Ministry of Finance. You must budget with gross salary expenses (salary, pension, ATP, holiday pay).

Salary overhead/administration expenses must be calculated based on the type of institution that defrays and registers/pays the salary to a project participant. See <u>section 5.3.7.10</u>.

If salary is requested for a participant, who receives personal pay supplement exceeding the expected level, the salary expense must be documented. If the person is already employed, the salary level must be documented in the form of a payslip. For the researcher who is newly appointed or employed in a new employment category, in the form of a salary calculation made by the employing/administrating institution.

Applicants must be able to submit documentation for the salary level in case DFF requests this.

5.3.7.6 Technical/administrative salaries

You may apply for funding to cover salaries for non-scientific assistants, including student assistants. In accordance with national regulations, you may not cover salary costs above the scientific level that is necessary for carrying out the tasks required.

Salary overhead/administration expenses must be calculated based on the type of institution that defrays and registers/pays the salary to a project participant. See <u>section 5.3.7.10</u>.

5.3.7.7 Equipment (purchase or construction)

You may apply for funding to cover the purchase or construction of equipment and apparatuses which are deemed necessary for the completion of the specific project. If you apply for funding to cover the purchase of a single piece of equipment in excess of DKK 500,000, excluding overhead/administration expenses, you must document the expenses – preferably in the form of a quote attached as an appendix. After completion of the grant, purchased equipment, etc., will become the property of the administrator or the institution/business that purchased the equipment according to the grant documentation.

Funded equipment is assumed to be subsequently used, wherefore the equipment will not be subject to demands of repayment of any potential residual value.

Equipment expenses exceeding DKK 500,000 must be entered as "equipment expenses" in the budget, whereas equipment expenses below DKK 500,000 must be entered as "operating expenses".

5.3.7.8 Operating expenses

You may apply for funding to cover all operating expenses that can be directly attributed to the applied for project, and which are deemed relevant and necessary for the completion of the project.

In the budget template, you must specify which specific operating expenses make up the total operating budget. Please provide detailed argumentation in connection with significant operating expenses, accounting for the extent, price level, and relevance.

Operating expenses characterised as permanent acquisitions, e.g. purchased books, special software licenses, and the like, do not become the property of the grant recipient, but of the administrator or the institution/business that purchased the acquisitions according to the grant documentation.

If you apply for funding for project-related expenses that concern contributing individuals, who are not categorised as scientific/academic or technical/administrative participants, these funds must be listed as operating expenses. This may include, e.g., involvement of professionals in practice-oriented projects, interpreting assistance, or special rights holders and stakeholders (e.g. in projects involving Indigenous Peoples) or other individuals who are relevant to the project. It is possible to apply for funding for compensation of the working hours during which they contribute to the project, so that they can be relieved from their regular work tasks and participate in the project, including necessary additional expenditures for travel and stay. The stated expenses must be described in the budget, including the number of working hours for which compensation is sought as well as the relevance of these expenses to the project.

Expenses which are expected to be covered by overhead/administration expenses

DFF expects expenses for normal work computers, general software, and other general work tools to be covered by the institutions' overhead/administration expenses. Likewise, it is expected that general secretarial assistance, general expenses in connection with recruitment, and similar items of expenditure are covered by the institution. Consequently, DFF does not offer support for these types of expenses. Moreover, DFF expects that access to and use of equipment, apparatuses, facilities, books, databases, etc., already available at the host institutions, will be made available for a given research project at no extra cost by the host institution. Unless you can document that special circumstances apply, DFF does not offer support for these types of expenses.

Education rates

As part of your operating expenses, you may apply for annual education rates ("uddannelsestakster") in relation to any research education required in conjunction with PhD scholarships financed by the fund. In accordance with an agreement between the research council system and Universities Denmark, DFF employs special education rates. The education rates for PhD students enrolled at Danish universities correspond to DKK 50,000 (Humanities and Social Sciences) or DKK 80,000 (Natural Sciences, Medical Sciences and Technical Sciences) per full project year (max 3 years). The rate will be at the host institution's disposal and also covers all salary expenses in relation to PhD supervision, PhD defence, etc. For PhD students funded by DFF, the employing or host institution may impose work tasks corresponding to 840 hours within a 3-year PhD programme.

In cases where a PhD student is dual-enrolled, i.e. enrolled as a PhD student at two degree-awarding institutions simultaneously, it is up to the involved institutions to establish an agreement on the distribution of education rates.

Travel and subsistence expenses

You can apply for reimbursement of reasonable additional expenses for travel and subsistence costs. The purpose is to cover the necessary additional expenses that follow from project-related journeys abroad. If, during a stay abroad, you remain employed at a Danish state research institution, DFF recommends that you find out whether you are covered by state self-insurance and thus do not need to take out your own personal insurance.

DFF expects that you have checked the actual costs of the stay and are able to justify the applied-for items of expenditure, e.g. for transport, expected price of rental accommodation, and other living expenses. You may, for instance, apply for the following:

- Reimbursement of necessary additional expenses for travel, though not for local travels during the stay abroad
- Reimbursement of necessary additional expenses for overnight stays, meals, etc.

DFF expects that applications to the fund meet the administrating institution's possible guidelines for reduction of the climate footprint. This also includes the choice of modes of transportation in connection with any travel activities, which will take place as part of the research projects funded by DFF.

Open Access (not funded)

DFF does not fund expenses associated with Open Access publishing. You can read more about Open Access at the fund's website.

Overhead/administration expenses for operating expenses

The overhead/administration expenses are awarded to the institution that defrays and registers the expenses for an operating item, based on the applicable rate for the type of institution in question.

5.3.7.9 Particular conditions for private enterprises

DFF funding to enterprises must be awarded in accordance with EU regulations for state support. For further information please see the <u>State Support Handbook</u> by the Ministry of Industry, Business and Financial Affairs.

As a general rule, DFF awards grants to enterprises in the form of so-called de minimis aid in accordance with the de minimis regulation.² An enterprise can receive no more than EUR 300,000

² Cf. Commission Regulation (EU) 2023/2831 of 13 December 2023 on the application of Articles 107 and 108 of the Treaty on the Functioning of the European Union to de minimis aid. Please note that there is separate de minimis regulation in regard to fishery and aquaculture as well as the agriculture sector.

in total de minimis aid from public grantors over a period of 3 financial years. If the applicant is an enterprise or part of the funding is to be passed on by the applicant to a participant in the form of an enterprise, a declaration of de minimis aid must be completed, signed and attached as an appendix to the application.

5.3.7.10 Overhead/administration expenses

DFF grants overhead/administration expenses to cover indirect costs incurred in connection with completion of the project, but which cannot be directly attributed to the specific project. This includes, e.g., joint expenses for rent, premises, administration, etc.

Overhead/administration expenses are calculated as a fixed percentage of the grant for the project's direct expenses. DFF grants overhead/administration expenses according to the table below.

Please note that if you are awarded a grant, and DFF is in doubt about which overhead rate your institution is entitled to, DFF will obtain documentation from you. It will not be taken into account which overhead rate the institution in question has previously received in connection with a DFF grant or other grants.

You must enter an overhead percentage for all participating institutions in the budget. This also applies to institutions that receive 0 % for overhead/administration expenses.

Institution type	Overhead/ administration expenses
Danish institutions (including Danish universities and sector research institutes) which are subject to the rules regarding grant-funded research activities in the Danish Ministry of Finance's budget guidelines, and which are authorised to carry out grant-funded research activities.	44 %
GTS – Advanced Technology Group Institutes (GTS institutes)	20 %
Danish institutions that meet all of the following criteria: receive and are expected to continue to receive a fixed state subsidy of minimum 25 % (measured in relation to the total annual turnover) for covering operating expenses are non-profit institutions which do not seek to generate profit, and where any profit may not be distributed among the owners carrying out research must be a central purpose	20 %
Public hospitals in Denmark	3,1 %
State-recognised Danish museums (cf. the Danish Museum Act)	3,1 %
All other Danish and foreign institutions and companies	0 %
Institutions in the Danish Realm ("Rigsfællesskabet"): The same rates for overhead/ administration expenses are granted to equivalent institutions (cf. the above-mentioned Danish institutions) in Greenland and the Faroe Islands	See above

5.3.7.11 The principle for calculating differentiated overhead/administration expenses

If your DFF application concerns funding of activities that involve funding of institutions with different legal status (see the table above), it is necessary to calculate a differentiated overhead rate for each institution.

In regard to salary expenses, overhead/administration expenses is calculated on the basis of the institution or business that defrays and registers the salary expenses. It is thus not decisive whether the research activity actually takes place at the institution or enterprise in question. Nor is a person's principal place of employment, or where they typically work the most hours, a decisive factor. If a

person is employed by several institutions, it must be agreed between these institutions how the project hours are to be distributed as working hours at each of the institutions. Each institution will then have to include the salary expenses for its share of the project working hours in the budget. The same principle applies to the division of equipment and operating expenses. This means that the overhead is awarded to the institution, or institutions, that defray and register the expenses for an operating item, based on the applicable rate for the type of institution in question, see the table above.

5.3.8 Budget confirmation

DFF funding is granted under the condition that the project's budget information is approved, stamped and signed both by the administrating institution's management and by the applicant. The administrating institution is defined as the unit, which is responsible for the finance and personnel administration for the applied for project's/applicant's expenses during the project period and which ensures administrative legality with regard to terms of employment, accounts, etc.

By signing the budget confirmation, the administrating institution's management also confirms that the management guarantees that the application and its content complies with the institution's implementation of the recommendations by the *Committee on Guidelines for International Research and Innovation Collaboration* (URIS). This entails, among other things, that the applicant is responsible for preparing a consortium agreement with any potential international collaborators and partners in case the application is granted, if the project encompasses institutions from countries outside of the European Union (EU), the European Economic Area (EAA) and the United Kingdom (UK). The requirement regarding consortia agreements applies in cases where a project contains substantial academic collaboration with partners based at institutions outside the EU, EAA and UK. Consortia agreements are not required when the collaboration merely takes the form of stays abroad, including stays abroad for PhD students and postdocs. The consortium agreement must account for intellectual property rights (IPR) as well as the scope of science and ownership. The consortium agreement should not be attached to the application, but the applicant must be able to submit it upon request.

The URIS guidelines were published on 25 May 2022 and can be found on the website of the (Danish) Ministry of Higher Education and Science.

Stamp and signatures must be provided in the "DFF - Budget Confirmation" template (the appendix must be uploaded to the application as a PDF file). You can find the template on the <u>DFF website</u>.

HOW IS THE APPLICATION PROCESSED AND ASSESSED?

6.1 REQUIREMENTS THAT MUST BE MET IN ORDER FOR YOUR APPLICATION TO BE GIVEN ACTIVE CONSIDERATION

If your application does not meet the requirements listed below, it can be rejected without prior active consideration, cf. § 4 and § 5 of the Executive Order no. 1154 of 16 October 2017 on the Functioning of Grants under Independent Research Fund Denmark. In this case, you will receive an administrative rejection.

- The application must be submitted via <u>e-grant</u>.
- The application must be received before the expiration of the application deadline.
- The application must be submitted to one of DFF's funding instruments.
- The application must be written in the specified language (see section 5.1.1).
- The application must include a project description and appendix (see section 5.3.3).
- The project description and appendix must comply with both the specified maximum allowed number of characters and the maximum allowed number of pages as outlined in the section on the funding instrument applied for (see section 3 for information on the relevant funding instrument and section 5.3 regarding character count. It is e-grant's character count that applies).
- The application must include the applicant's CV and the Co-PI's CV (see section 5.3.4).
- The applicant's CV must not exceed 2 A4 pages (including figures, tables, etc.) and the 2 A4 pages must contain no more than 6,000 characters, including spaces, figure texts, formulas, etc. (see section 5.3 regarding character count. It is e-grant's character count that applies).
- The application must include the applicant's and the Co-PI's lists of publications (see section 5.3.6).
- The application must include a budget, using the budget template relevant corresponding to the funding instrument (www.e-grant.dk).

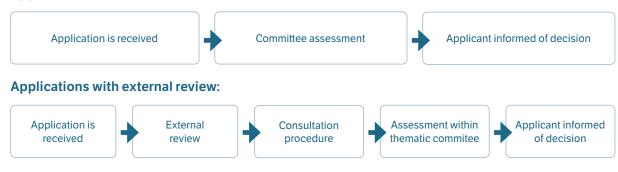
If your application fulfils the above requirements, it will be submitted for active consideration by DFF on the basis of the submitted material. This means that DFF will not obtain further information, in the form of supplementary application materials, from you after the application deadline and during the processing of the application, irrespective of whether your application is incomplete in relation to the requirements listed under each funding instrument in this call for proposals. It also means **that DFF** will not accept any supplementary application materials after the application deadline.

6.2 PROCESSING PROCEDURES

All members of the thematic committee will take part in the assessment of all applications. However, members who are deemed partial due to a conflict of interest in relation to a particular application, will not participate in the assessment of the application in question. Regulations concerning conflicts of interest can be found in § 2 of the <u>Executive Order no.1154 of 16 October 2017 on the Functioning of Grants under Independent Research Fund Denmark.</u>

Your application will be processed in the following way:

Applications without external review:



The research theme described in this call will be assessed in the following thematic committee:

Politically determined theme	Thematic committee
Arctic research – Climate change and sustainable Arctic communities	DFF Thematic research – Arctic research (2025)

When the above-mentioned thematic committee has been appointed, you will be able to find an overview of the members on the DFF website.

6.3 EXTERNAL REVIEW

In the few cases where the fund makes use of external review in thematic calls, the applications in question will be assessed by individual external peer reviewers.

Furthermore, DFF always carries out an external review for all applications where:

- A member of the thematic committee, which is going to assess the application, is an applicant
 or scientific participant in an application, the budget of which exceeds DKK 1 million, excluding
 overhead/administration expenses, or
- The thematic committee, on account of conflicts of interest or other reasons, does not possess the necessary scientific expertise to assess a given application.

DFF strives to find external reviewers who are accomplished researchers with a wide range of scientific competencies and who have previous experience as external reviewers. Potential reviewers are selected on the basis of an overall assessment of qualified reviewers and their availability within the time limits that apply. Certain reservations are made in cases where it proves impossible to find qualified external reviewers within the given time frames.

When DFF approaches a researcher to enquire if the person will serve as an external reviewer, the fund's rules concerning conflicts of interest are clarified to the person in question. At the same time, it is emphasised that the application material is confidential and that the applicant will be made aware of the review as well as the reviewer's identity, and that the applicant will be offered the right to comment on any factual errors or misconceptions in the review. This is done to ensure that the external review process complies with the administrative rules ("forvaltningsregler") that apply to DFF.

If your application has been submitted for external review, you will always receive a copy of the review for potential comment (consultation procedure). DFF will be entitled to make a decision about your application without waiting for your comments, if the deadline for submitting your reply has passed. External reviews serve solely as an extension of the thematic committee's basis for decision and are simply indicative. The thematic committee makes its final decision based on the assessment criteria listed in the call for proposals as well as a prioritisation of the pool of applications as a whole.

As an alternative to individual external peer review, it is also possible for the thematic committee to request a scientific statement from one or more of the research councils at DFF. This will be in cases where the thematic committee does not possess the necessary scientific expertise to assess a given application.

6.4 WHEN AND HOW WILL YOU BE NOTIFIED OF DFF'S DECISION?

The time of processing for the individual funding instruments is listed in the table in <u>section 2.1</u>. Approximately two weeks after the thematic committee has reached its decision you will receive a short notification via e-grant, informing you of whether the application has been granted or rejected.

DFF publishes a list of those applicants who have been awarded a grant, approximately three to four weeks after the thematic committee has reached a decision. Details about applicants who have not been awarded funding will only be published to the extent that someone requests a right of access to documents under the Danish Access to Public Administration Files Act, e.g. in the form of lists of applicants and the projects for which they have applied (i.e. names of applicants and project titles). Applicants should therefore ensure that the title of the application does not contain information about confidential research activities.

Applicants will receive a letter from DFF through the e-grant system 2-3 months after the thematic committee has made a decision regarding granting or rejection. Rejection letters will contain a brief and concise elaboration on the reasons for rejection.

7

YOUR RESPONSIBILITY AS AN APPLICANT

When using the DFF e-grant system, it is your responsibility to choose the correct application form and ensure that the information provided is correct. Furthermore, you are responsible for ensuring that the contents of the required appendices are correct, and that the appendices have been attached to the application. Finally, it is your responsibility that the application has been submitted to the fund before the expiration of the deadline, which is specified for the relevant theme and funding instrument in the call for proposals.

In <u>section 6.1</u> of this call, the specific types of formal shortcomings, which may result in an administrative rejection of an application, are listed. It is your responsibility to ensure that you fulfil all the formal requirements listed in the call, in order for your application to be given active consideration by the relevant thematic committee or research council.

You are obliged to inform DFF if significant prerequisites for the completion of the project can no longer be met.

7.1 DUTY TO INFORM ABOUT OTHER FUNDING SOURCES

If you receive full or partial funding for your project from another source, you are obliged to notify DFF within 14 days.

Funding may consist of financing from other sources.

Partial funding may include cases, where you have submitted an application to other funds, the contents of which are not entirely the same as the project submitted to DFF, but where there is an overlap between the work packages or operating expenses and equipment applied for.

DFF may require documentation from you in the form of the grant letter from and the application to the fund from which you have been granted funding, in order to determine whether there is an overlap in the topics of the two projects, and as documentation of which budget items to cut.

7.2 TECHNICAL DISCLAIMER

The Ministry of Higher Education and Science (UFM) has an obligation to inform of any errors that make the e-grant system inaccessible, affecting the applicant's possibility of submitting applications within a given deadline. Such technical issues will be announced on the <u>UFM website</u>.

In especially serious cases, the Ministry of Higher Education and Science may extend the application deadline for all relevant applicants. This will also be announced on the website.

The Ministry of Higher Education and Science is not liable for any incorrect information supplied as a result of software errors, calculation errors, transmission errors, and similar errors, just as the ministry will not be held liable for any compensation claims due to incorrect use of the e-grant system.

7.3 THE DANISH OPEN ADMINISTRATION ACT AND THE DANISH PUBLIC ADMINI-STRATION ACT

The Danish Open Administration Act (<u>Act no. 145 of 24 February 2020</u>) ('offentlighedsloven') provides you with certain rights, just as it secures citizens in Denmark various rights in relation to public authorities' duty of disclosure in connection with administrative procedures. Thus, the material which you submit to the Ministry of Higher Education and Science is, as a whole, covered by the regulations of the Danish Open Administration Act, e.g. in relation to the right of access to records. See also the Danish Public Administration Act (<u>Act no. 433 of 22 April 2014</u>).

7.4 DATA PROTECTION

The information submitted in your application will be registered in e-grant. Upon request, you have the right of access and rectification of the data registered and stored by us, cf. the Data Protection Act (<u>Consolidation Act no. 289 of 8 March 2024</u>) and the General Data Protection Regulation. The information you provide, is regularly transferred to the Danish National Archives, subject to the rules of the Archive Act and National Archives' provisions in this regard.

Read more about the general processing of personal data on the <u>UFM website</u>.

It is not possible to rectify the contents of your application after the application has been submitted and the application deadline has expired, apart from the rectification of personal information.

7.5 TRANSMISSION OF PERSONAL INFORMATION

You can read more about the transmission of your personal data on the <u>DFF website</u>.

7.6 AUTHORITIES' RIGHT TO REQUEST OTHER INFORMATION

DFF reserves the right to obtain information on any previous and current applications you have submitted to Independent Research Fund Denmark, Innovation Fund Denmark, and/or the Ministry of Higher Education and Science. This information may be used in connection with the processing of your application to the extent to which it is deemed relevant to the assessment of the current application.

7.7 PUBLICATION

In the event that you are awarded funding, in full or in part, your name and the names of any project participants, as well as details about the location, title, and duration of the project, any key figures for the grant and the size of the grant will be published for use in a larger overview of Danish research results and <u>DFF's project overview</u>. The popularised scientific description will typically be published on these same sites.

Information about your project, including publications resulting from the project, will also be published on the <u>Research Portal Denmark</u> ("Danmarks Forskningsportal").



SUPPORT FOR YOUR APPLICATION

8.1 QUESTIONS ABOUT THE APPLICATION PROCEDURE

The office of DFF can provide telephone and written guidance on the administrative and formal issues regarding this call and the application procedures. You may contact the DFF office by phone at +45 7231 8200 between 9 am and 12 (noon) on weekdays or by email: DFF-opslag@ufm.dk.

On the <u>DFF website</u> you can find answers to the most frequently asked questions.

Unfortunately, the office is unable to provide guidance on scientific issues. DFF recommends that you contact your local research support unit instead and make use of peer feedback.

8.2 TECHNICAL QUESTIONS (E-GRANT)

If you require help in using the e-grant system, please find more information on the <u>UFM website</u>. If you have technical queries, you can contact e-grant support by phone at +45 3392 9190 between 9 am and 12 (noon) on weekdays or by email: support.e-grant@ufm.dk.

8.3 QUESTIONS ABOUT ONGOING GRANTS

As the grant holder, you are obligated to comply with the terms of your grant letter and its appendices, as well as the applicable version of the *Terms and Conditions for Grants*.

If you have any queries regarding an ongoing grant from DFF, you must contact the Administration and Grants Unit in the Danish Agency for Higher Education and Science, which manages the administration of grants awarded by DFF. You can contact the unit by phone at +45 3392 9200 between 9 am and 12 (noon) on weekdays or by email: bevilling@ufm.dk. On the UFM website, you can find answers to the most frequently asked questions regarding grants.